

11th Ethics in Finance Panel Discussion
“CFA Standards of Professional Conduct in Practice”
Thursday, 22 May 2014 (6:15pm-7:15pm). Venue: CM05C.01.05 (Haymarket)

James Halse, CFA

Platinum Asset Management (Investment Analyst)



James is an investment analyst with Platinum Asset Management in global equities, responsible for coverage of the healthcare, retail, and consumer non-durables sectors. Prior to working at Platinum, James was an analyst with fund manager CP2 - first in global infrastructure, then Australian equities. James holds BA/LLB (Hons) and LLM (Hons) degrees from The University of Auckland. He is a CFA Charterholder and a member of CFA Institute and the CFA Society of Sydney.

Nathan Lim, CFA

Australian Ethical Investment Ltd. (Portfolio Manager)



Nathan Lim joined Australian Ethical Investment Ltd (AEI) as an analyst in January 2010. In July 2011, he was appointed as the portfolio manager of the Australian Ethical International Equities Trust (themed around global smart energy) which provides an exposure to a broad range of investments focusing on both the supply and demand of energy. AEI was established in 1986 and is known for its “deep green/ethical” approach to investing which is guided by its principles-based charter. Nathan has 19 years of investment experience that has taken him across Asia, Europe, North America, the Middle East and Australia, which has enhanced his perspective on both local and global issues. Nathan has a Bachelor of Commerce from the University of British Columbia and is a Chartered Financial Analyst.

Milan Milosevic, CFA

Ernst & Young (Partner, Transaction Advisory Services)



Milan specialises in providing transaction advisory services to clients in relation to mergers & acquisitions, divestitures and capital raisings. Milan joined Ernst & Young in Sydney in 1998. He has specialised in the area of Corporate Finance, and has advised a wide range of businesses on corporate finance matters across a number of industries, including health, professional services, retirement and financial services, media and transport. Milan holds a Bachelor of Commerce and is a CFA Charterholder. He is a member of CFA Institute, the CFA Society of Sydney, and the Institute of Chartered Accountants in Australia.

Fleur Wright, CFA

UBS Investment Bank (Executive Director, International Equity Sales)

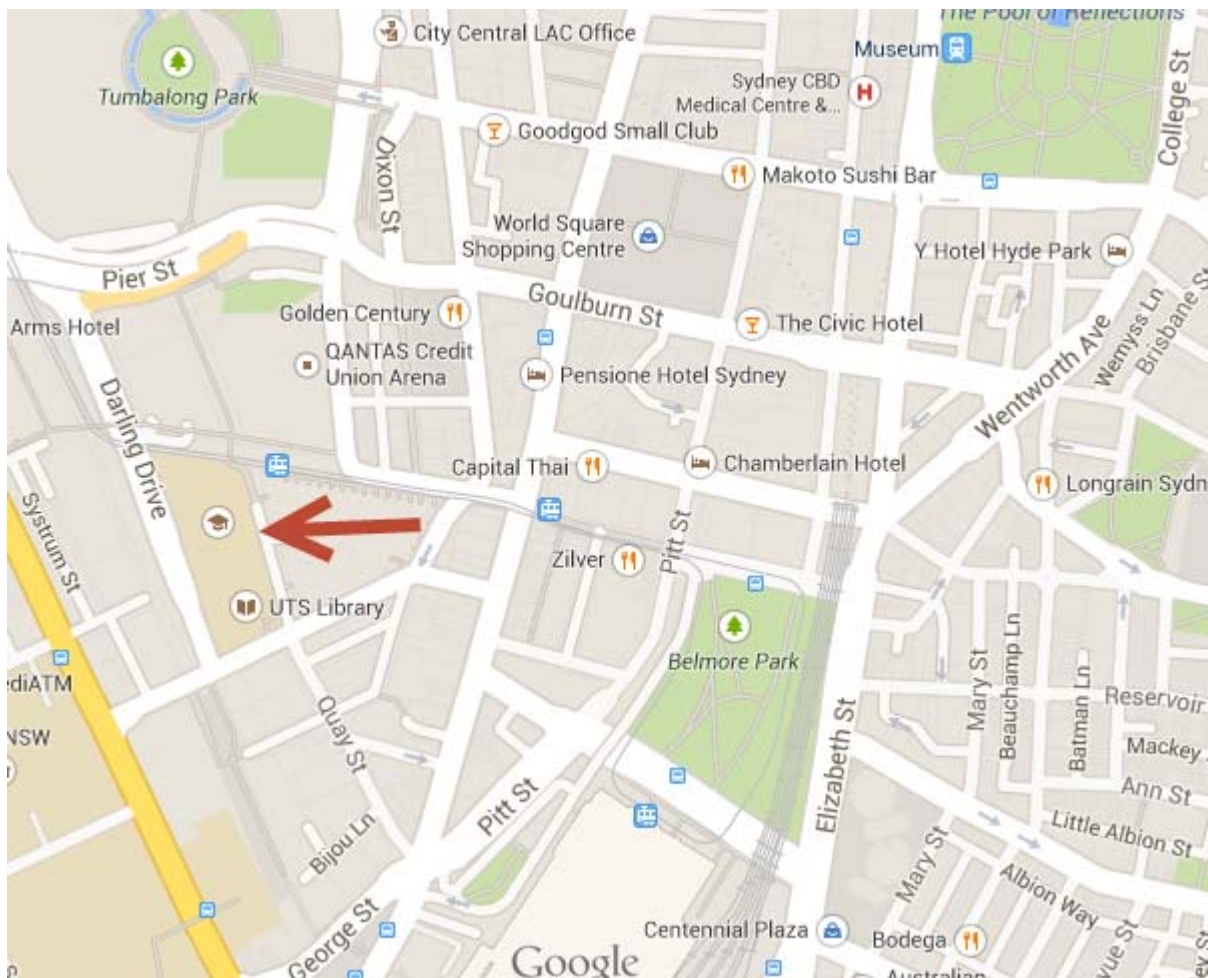


Fleur Wright spent 7 years on the UBS Pan European equities sales desk in London before returning to Sydney in early 2011 to build out the UBS international equities franchise in Singapore and Hong Kong. More recently, she took over the Australian based International client base. Prior to joining UBS in 2004, Fleur worked as an equities research analyst at Capital Partners (now CP2 infrastructure fund). Fleur graduated with a Bachelor of Commerce with First Class Honours in Finance from Sydney University in 2000 and is a CFA charterholder. On the personal side Fleur is married with 2 young children.

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***Venue: UTS Building 5, Block C, Level 1, Room 05 (CM05C.01.05)
(1 Quay Street, Haymarket)***



Interested guests and students not enrolled in the 25602 Ethics in Finance seminar are welcome to attend.

Please RSVP to gerhard.hambusch@uts.edu.au